

5 AQUEOUS COATING COMPOSITION GIVING COATINGS HAVING
IMPROVED EARLY HARDNESS AND TACK-RESISTANCE

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This invention relates to an aqueous coating composition which on application to a surface gives a coating which on drying has improved early hardness and tack-resistance. It especially relates to a paint or varnish of the type suitable for application at ambient temperatures (usually 0 to 40°C) to surfaces found on buildings or vehicles or on their fittings or furnishings or on metal or plastics containers. This invention also relates to a method of painting and varnishing a building or vehicle or its fittings or furnishings or a metal or plastics container by applying the paint or varnish to a surface by means of a conventional decorating tool or technique such as a brush, roller, pad or spray. The invention further relates to the use of the paint or varnish in providing a coating supported on a non-fibrous surface, for example a surface provided by plaster, masonry, (including brick, stone or concrete), metal or plastics.

Aqueous paints or varnishes comprise a film-forming polymer (including copolymers) dispersed or dissolved in a so-called aqueous vehicle which comprises water and optionally also water-miscible organic solvents. One of the difficulties with aqueous paints or varnishes is the relative slowness with which a dried coat of the paint or varnish becomes fully hard and tack-resistant even after substantially all of the aqueous vehicle has been removed by evaporation. Any delay in achieving hardness increases

the risk of the dried coating being damaged by scuffing or scoring. The lack of early tack-resistance means that opposed contiguous freshly painted surfaces are liable to stick together or "block", to use the British trade term.

5 Opposed contiguous surfaces are found for example when a freshly painted door or window frame is closed against its freshly painted enclosing frame, or when freshly painted containers are packed together. Hence a lack of early tack-resistance delays the time when freshly painted doors
10 and windows can be safely closed or when freshly painted containers can be packed.

It is known that the onset of hardness and tack-resistance can be accelerated by chemically cross-linking an unsaturated film-forming polymer. This occurs for example when traditional alkyd resins are autoxidised by atmospheric oxygen in the presence of an autoxidation promoter or "drying agent" such as cobalt octoate. The problem with autoxidation is that it continues (albeit slowly) throughout the life of the dried coat of paint and eventually increases hardness to such an extent that the coating becomes too brittle to withstand thermal expansion and contraction and so flakes off the painted surface. In addition, most of the film-forming polymers used commercially in aqueous paints suitable for application at ambient temperatures comprise polymerised acrylic or vinyl monomers containing no residual unsaturation after polymerisation so that cross-linking by autoxidation is not possible.

Aqueous latexes of either crystalline or moderately
30 non-crystalline naturally occurring thermoplastic polyester
particles obtainable from renewable agricultural feedstocks
are known to form cohesive coatings on non-fibrous
substrates if they are heated to temperatures of 100°C or
more. Such polyesters contain polyhydroxyalkanoates and are
35 exemplified by copolymers of poly(3-hydroxy)butyrate (PHB)
and poly(3-hydroxy)valerate (PHV). Similar biodegradable

General information		Registration	
Year	Age	Year	Age
1990	10	1990	10
1991	11	1991	11
1992	12	1992	12
1993	13	1993	13
1994	14	1994	14
1995	15	1995	15
1996	16	1996	16
1997	17	1997	17
1998	18	1998	18
1999	19	1999	19
2000	20	2000	20
2001	21	2001	21
2002	22	2002	22
2003	23	2003	23
2004	24	2004	24
2005	25	2005	25
2006	26	2006	26
2007	27	2007	27
2008	28	2008	28
2009	29	2009	29
2010	30	2010	30
2011	31	2011	31
2012	32	2012	32
2013	33	2013	33
2014	34	2014	34
2015	35	2015	35
2016	36	2016	36
2017	37	2017	37
2018	38	2018	38
2019	39	2019	39
2020	40	2020	40
2021	41	2021	41
2022	42	2022	42
2023	43	2023	43
2024	44	2024	44
2025	45	2025	45
2026	46	2026	46
2027	47	2027	47
2028	48	2028	48
2029	49	2029	49
2030	50	2030	50
2031	51	2031	51
2032	52	2032	52
2033	53	2033	53
2034	54	2034	54
2035	55	2035	55
2036	56	2036	56
2037	57	2037	57
2038	58	2038	58
2039	59	2039	59
2040	60	2040	60
2041	61	2041	61
2042	62	2042	62
2043	63	2043	63
2044	64	2044	64
2045	65	2045	65
2046	66	2046	66
2047	67	2047	67
2048	68	2048	68
2049	69	2049	69
2050	70	2050	70
2051	71	2051	71
2052	72	2052	72
2053	73	2053	73
2054	74	2054	74
2055	75	2055	75
2056	76	2056	76
2057	77	2057	77
2058	78	2058	78
2059	79	2059	79
2060	80	2060	80
2061	81	2061	81
2062	82	2062	82
2063	83	2063	83
2064	84	2064	84
2065	85	2065	85
2066	86	2066	86
2067	87	2067	87
2068	88	2068	88
2069	89	2069	89
2070	90	2070	90
2071	91	2071	91
2072	92	2072	92
2073	93	2073	93
2074	94	2074	94
2075	95	2075	95
2076	96	2076	96
2077	97	2077	97
2078	98	2078	98
2079	99	2079	99
2080	100	2080	100

the 1990s, the number of people in the United States who are 65 years of age or older is projected to increase from 20 million to 30 million, and the number of people 75 years of age or older is projected to increase from 10 million to 15 million (U.S. Census Bureau, 1996).

polyester of long-chain 3-hydroxyalkanoates and of 4- and 5- hydroxyalkanoates are also known. When all of the polyester particle is fully crystalline, the density of the polyester reaches its maximum which will be called the "crystalline density", D_{\max} of the polyester. Conversely, when all of the polyester particle is fully non-crystalline, the density of the polyester reaches its minimum which will be called the non-crystalline density of the polyester, D_{\min} . If the particles are only partially crystalline, they will have an intermediate density lying between the non-crystalline and crystalline densities and the amount by which their density falls below D_{\max} (the crystalline density) is indicative of the amount of non-crystalline structure present in the polyester.

The polyesters may be produced microbially via known micro-organism-induced fermentations of aqueous substrates as described for example in the article "Biopol Polyester" by J M Liddell in the book "The Chemical Industry - Friend to the Environment" edited by J A G Drake and published in 1992 by the Royal Society of Chemistry of London, see page 1 et seq. The contents of this article are herein incorporated by reference. The aqueous fermentation medium contains both nutrients and carbon source or sources which together support growth. The carbon sources also provide energy. Suitable micro-organisms include bacteria such as *Bacillus megaterium*, *Cyanobacteria*, *Alcaligenes latus*, *Pseudomonas pseudoflava*, *Pseudomonas cepacia* and preferably *Pseudomonas oleovorans* or *Alcaligenes eutrophus*. *Alcaligenes eutrophus* has the advantage of being ubiquitous in nature but it is only capable of forming polyesters whose repeating units contain 3 to 5 carbon atoms. *Pseudomonas cepacia* offers a much wider range of carbon chains. The nutrients should provide elements needed for cell multiplication such as nitrogen, phosphorous, sulphur, sodium, potassium, magnesium, calcium, zinc, iron and copper. The carbon sources may be carbohydrates such as

starch, sucrose, and preferably glucose, or its salts, natural oils, alkanolic acids, preferably acetic, propionic or valeric (i.e. pentanoic) acids or alcohols such as methanol, ethanol, propanol or pentanol.

5 The fermentation is usually performed in two stages. Firstly, enough micro-organism is grown to provide a practicable concentration of the micro-organism. The amount grown is conveniently controllable by selecting an appropriate concentration of nutrient for use in the
10 fermentation medium. On exhaustion of the nutrient, the organism ceases to grow and the second stage begins.

 In the second stage of the fermentation, more carbon source is added to the substrate and the synthesis of the polyester either begins or more usually greatly
15 accelerates. In nature, the polyester serves as a food store for the organism and it accumulates as discrete particles in the cytoplasm of the micro-organism.

 A proportion of moderately non-crystalline polyester particles may be extracted as an aqueous dispersion from
20 the micro-organism cells by a process such as that described in European Patent Specification EP 0 145 233A or its corresponding United States Patent Specification US 4 910 145 (the contents of which are herein incorporated by reference) provided the process stops short of any
25 oxidation treatment or of any attempt to separate the particles from the dispersion and dry them. Briefly a useful process comprises heating the aqueous suspension of cells obtained from the fermentation to at least 80°C and the digesting the cells with proteolytic enzyme and/or
30 surfactant which dissolves most of the non-polymeric cell material leaving the polyester as a dispersed particulate solid which can be recovered by filtration or centrifugation. The proteolytic enzyme may be for example
35 at least one of pepsia, trypsin, bromelain, papain, ficin, rennin, chymotrypsin or bacterial or fungal proteolytic enzymes. The surfactant may be an anionic surfactant such

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as a sulphated or sulphonated fatty acid and in particular it may be sodium dodecyl sulphate.

Unfortunately, coating compositions formed from aqueous dispersions of crystalline or moderately non-crystalline polyester particles do not form cohesive coatings on non-fibrous substrates at ambient temperatures (i.e. 0 to 40°C) and so they are unsuitable for use in aqueous paints or varnishes of the type suitable for application to plaster, masonry, wooden or metal surfaces or any previously painted surfaces found on buildings or vehicles or their fittings or furnishings or on metal containers.

An object of the invention is to provide an aqueous coating composition which on application to a surface gives a coating which on drying has improved early hardness and tack-resistance without the need to rely on autoxidation.

Accordingly, this invention provides an aqueous coating composition (preferably an aqueous paint or varnish of the type suitable for application to surfaces of the type found on buildings or vehicles or their fittings or furnishings or on metal or plastics containers) wherein the coating composition comprises:

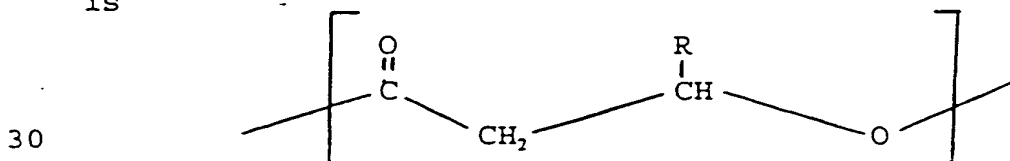
- a) water
- b) in the case of a paint, pigment
- 25 c) particles of polyester (including co-polyester) dispersed in water, which polyester contains repeating hydroxy alkanoate units and wherein at least 60 wt% of the polyester particles have a density of less than 102% of D_{min} (and preferably less than 101% of D_{min}) which in effect means that the majority of polyester particles are highly non-crystalline with only a very small proportion of crystallinity being tolerable and
- 30 d) preferably conventional film-forming polymer
- 35 in an amount of up to 95 wt% (preferably up to 85

wt%) of the combined weights of the conventional film-forming polymer and the polyester.

The presence of even small concentrations of highly non-crystalline hydroxyalkanoate polyester particles in a freshly dried coat of paint or varnish have been found to accelerate hardening and achievement of increased tack-resistance. It is supposed that when the coating dries, crystallisation is initiated in the highly non-crystalline polyester particles leading to the formation of numerous crystalline zones or "crystallites" in which adjacent polyester chains are held together by lateral attractions which act like chemical crosslinks. Lateral attractions are well known in other crystalline polymers such as isotactic polypropylenes. Crystallisation can occur quite quickly and so the quasi-crosslinking effect and the consequent hardening and increase in tack-resistance are likewise quick.

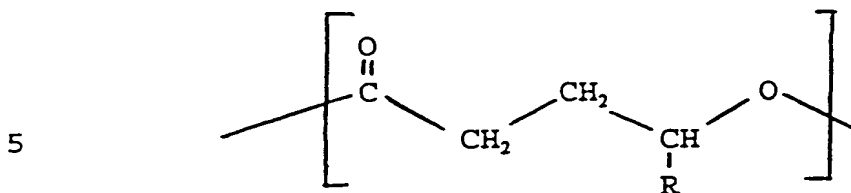
Usually the aqueous paints or varnishes of this invention are particularly useful in decorating buildings where it is not practicable to heat the decorated surfaces to much above ambient temperatures and where non-fibrous surfaces are often encountered.

The hydroxyalkanoate repeating units may comprise for example a 3-hydroxyalkanoate or containing a 4- or 5-hydroxyalkanoate or combinations thereof. The general formula for the repeating unit of poly 3-hydroxyalkanoate is



where R is C₁ to C₁₂ alkyl. For poly 3-hydroxybutyrate, R is CH₃, and for poly 3-hydroxyvalerate R is C₂H₅. D_{min} (the non-crystalline density) for poly 3-hydroxybutyrate is about 1.18 g/cm³ so preferably 60 wt% of the poly 3-hydroxybutyrate particles used in this invention should have a density of less than 1.20 g/cm³. The general formula

for the repeating unit of poly 4-hydroxyalkanoate is



where R is a C₁ to C₁₂ hydrocarbon group, preferably alkyl.

Preferably, the polyester comprises a copolymer of 3-hydroxybutyrate and 3-hydroxyvalerate in which the
 10 hydroxyvalerate component is from 0 to 40 mole%, and more preferably up to 30 mole%. Where the hydroxyvalerate component is 20 mole%, D_{max} (the crystalline density) for the copolymer is 1.231 g/cm³ and D_{min} (the non-crystalline density) is 1.176 g/cm³. It is especially preferred that at
 15 least 60 wt% of the polyester particles used in this invention should have a density of less than D_{min} + 0.3 (D_{max} - D_{min}) which in the case of the above copolymer containing 20 mole% hydroxyvalerate means that the density of the highly non-crystalline polyester would be less than 1.195
 20 g/cm³.

In addition to accelerating hardening and achievement of tack-resistance, the polyesters also have the advantage of being biodegradable and available from readily available agricultural feedstocks.

25 The hydroxyalkanoate polyesters are currently relatively expensive and so it is commercially advantageous to use them in combination with film-forming polymers (including copolymers) of the type conventionally used in aqueous paints or varnishes. Such conventional polymers
 30 are obtained from monomers which are in turn obtained from petroleum or vegetable oil feedstocks. The conventional polymer may be present as a dispersion of polymer particles or as a solution of polymer in the aqueous vehicle. Preferably polymer which is intended for use in a
 35 dispersion should have a minimum film-forming temperature of not more than 40°C to ensure good film-forming at

ambient temperature. Minimum film-forming temperature may be measured by ASTM Test 2354-91. Usually a particulate film-forming polymer will be a copolymer of monomers, one of whose homopolymers has a high minimum film-forming temperature whilst the homopolymer of the other will have a low film-forming temperature. The proportion of co-monomers will then be chosen so as to give a copolymer whose minimum film-forming temperature is not more than 40°C and preferably above 0°C. Typical monomers whose homopolymers have high minimum film-forming temperatures include carboxylic acids such as acrylic, methacrylic, crotonic or itaconic acids or fumaric or maleic anhydrides or non-acid monomers such as methyl methacrylate, vinyl acetate and styrene. Typical monomers whose homopolymers have low minimum film-forming temperatures include ethyl acrylate, 2-ethylhexyl acrylate, methyl acrylate, butyl acrylate and the material commercially available from the Shell Chemical Company under the trade name "Vinyl Versatate" which is believed to be the vinyl ester of a mixture of branched chain acids which contains around 10 carbon atoms. Useful water-soluble film-forming polymers include modifications of the so-called alkyd resins described for example on pages 165 and 170 of the third edition of the book "introduction to Paint Chemistry" by G P A Turner published in 1988 by Chapman and Hall of London where the modification introduces water solubilising moieties into the resin. The contents of these pages from Turner's book are herein incorporated by reference. Other useful water-soluble film-forming polymers include copolymers containing co-monomers of the type listed above in connection with particulate film-forming polymers provided that they contain enough of a salt of a copolymerised carboxylic acid to make the copolymer water-soluble. Examples of such copolymers are described in detail in European Patent Specification EP 0 425 825A, the contents of which are herein incorporated by reference.

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To make a coating composition (other than the simplest of varnishes), an aqueous dispersion of hydroxyalkanoate polyester particles optionally together with dispersed or dissolved conventional film-forming polymer is mixed with
5 a dispersion (known as a "millbase") of other ingredients such as pigments (usually titanium dioxide and optionally non-white pigments or dyes), extenders such as clays and chalks and matting agents such as silica if a non-gloss finish is required. The coating compositions may also
10 contain other ingredients such as fungicides, anti-foaming agents and anti-skinning agents.

Paints and varnishes according to this invention can be coated on to substrates using conventional tools or techniques or (for experimental purposes) by using a block
15 spreader. They are especially suitable for application to non-fibrous surfaces at ambient temperatures.

The invention will now be illustrated by the following Examples of which A and B are comparative.

20

EXAMPLES 1 AND 2

A sample of an aqueous dispersion of a hydroxy alkanoate copolyester consisting of approximately 80 mole% 3-hydroxybutrate and 20 mole% 3-hydroxyvalerate having a
25 solids content of 9.1% was concentrated to 35% solids on a rotary evaporator and mixed 90:10 by weight with an aqueous dispersion of a conventional acrylic film-forming copolymer. 67wt% of the polyester particles had a density of below 1.18 g/cm³.

30 The resulting blend was then mixed with a titanium dioxide white millbase to give conventional silk (Example 1) and matt (Example 2) paint formulations. The paints were coated onto various substrates (as specified in Tables 1 and 2) using a block spreader or brush and allowed to dry
35 for specified times at 20°C. The dried coatings were subjected to scratch resistance tests to assess their

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hardness, to blocking and thermoplasticity tests to assess their tack resistance and to a water spot test which assesses water-resistance and also indicates the extent to which the surface of the coating has been modified by the presumed physical crosslinking attributable to crystallisation. The results are shown in Tables 1 and 2.

COMPARATIVE EXAMPLES A AND B

For comparison, the same tests were repeated on paints of the same formulation except that the hydroxyalkanoate copolyester was replaced by the same weight of the acrylic polymer. Again the results are shown on Tables 1 and 2.

TABLE 1
SILK FORMULATIONS

TEST	SUBSTRATES	EXAMPLE 1 (WITH POLYESTER)	COMPARATIVE EXAMPLE A (WITHOUT POLYESTER)
Scratch Resistance	"Leneta" paper	Hard	Soft
Thermo- plasticity at 70°C	Undercoated Steel	OK	Marked and wool stuck
Blocking 24h 1wk	Undercoated Wood	OK OK	Stuck: damaged Slight sticking visible damage
Water Spot	"Leneta" paper	OK	Marked

TABLE 2

MATT FORMULATIONS

5	TEST	SUBSTRATE	EXAMPLE 2 WITH POLYESTER	COMPARATIVE EXAMPLE B WITHOUT POLYESTER
	Scratch Resistance	"Leneta" paper	Hard	Soft

10 Substrates:

"Leneta" paper is a poly-(vinyl chloride) coated paper available from the Leneta Company of Ho-Ho-Kus, New Jersey USA and it is designed specially for use in testing paints. The paper is coated with paint using the block spreader and
15 the coating is allowed to dry for 24 hours prior to conducting the tests.

A conventionally primed steel panel was used in the thermoplasticity test. The test coatings were applied using a brush and then allowed to dry for 24 hours. A
20 lambswool pad was placed on the dried coating and soaked with water. A 250g weight was laid on the pad and the assembly placed in an oven and heated to 70°C to simulate a domestic central heating radiator. After 1 hour, the assembly was removed from the oven and allowed to cool to
25 room temperature. The pad was then pulled from the panel and the panel was examined for marks.

The Undercoated Wood was first primed with a standard acrylic wood primer. Using a brush, pairs of samples of primed wood were each coated with first and second coatings
30 of the test paints. The first coating was allowed to dry for 24 hours and then the second coating was applied and allowed to dry for either 24 hours or one week. Then a pair of the coated surfaces were placed against each other under a 5 kg load for 24 hours followed by their
35 separation.

The Water Spot Test was performed as follows:

A 1ml drop of tap water was applied to a coating of the paint under test and covered with a watch glass. The paint under test had been applied to "Leneta" paper and allowed to dry for 24 hours. The drop was removed after 2 hours
5 and the coating was examined for disfiguration.

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